FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

| OMB APPROVAL | | | | | | | | |
|--------------------------|-----|--|--|--|--|--|--|--|
| OMB Number: 3235-028 | | | | | | | | |
| Estimated average burden | | | | | | | | |
| hours par rasponsa. | 0.5 | | | | | | | |

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| (Last) C/O RIBB | (Firs | IUNICATIONS BOULEVARD, | | 0 | 2. Issuer Name and Ticker or Trading Symbol Ribbon Communications Inc. [RBBN] 3. Date of Earliest Transaction (Month/Day/Year) 03/15/2021 4. If Amendment, Date of Original Filed (Month/Day/Year) | | | | | | | 6. Ir | telationship of Reporting Person(s) to Issuer eck all applicable) Director 10% Owner X Officer (give title below) EVP & GM, IP Optical Networks addividual or Joint/Group Filing (Check Applicable e) X Form filed by One Reporting Person Form filed by More than One Reporting Person | | | | | | | |
|--|---|--|----------------------------------|--|--|---|---|---|------------------|--------|--------------------|---|--|---|--|---|---|--|---|--|
| (City) | (Stat | | ip) | | | | | | | | | | | | | | | | | |
| 1. Title of Security (Instr. 3) 2. Transa Date | | | | | | | | 3. Transa Code (| ection | tr. 5) | | | 5. Amount of | | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | | | |
| | | Ta | able II - D | | | | | | | | | | | Owned | , | | | | | |
| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deeme Execution if any | Deemed scution Date, ny onth/Day/Year) Deemed Transaction of Deriva Securi Acquii (A) or Dispoor of (D) | | | 5. Numl of Derivati Securiti Acquire (A) or Dispose of (D) (II | Derivative Securities Acquired (Month/Day/Year) | | | sable and | 7. Title and of Securiti Underlying Derivative (Instr. 3 ar | I Amount es J Security | 8. Price of Derivative Security (Instr. 5) | 9. Numbe derivative Securities Beneficia Owned Following Reported Transacti (Instr. 4) | Ownersh Form: Direct (D or Indirect (I) (Instr. | | Beneficial Ownership ct (Instr. 4) | | |
| | | | | | Code | v | (A) | (D) | Date Exercisa | | Expiration Date | Title | Amount or Number of Shares | | | | | | | |
| Restricted Stock Units (RSUs) | (1) | 03/15/2021 | | | Α | | 47,790 | | (2) | | (2) | Common Stock | 47,790 | \$0.0 | 47,79 | 0 | D | | | |
| Performance- Based Restricted Stock Units (PSUs) | (3) | 03/15/2021 | | | A | | 47,790 | | (3) | | (3) | | (3) | Common Stock | 47,790 | \$0.0 | 47,79 | 0 | D | |
| PSUs | (4) | 03/15/2021 | | | A | | 28,674 | | (4) | | (4) | Common Stock | 28,674 | \$0.0 | 28,67 | 4 | D | | | |
| PSUs | (5) | 03/15/2021 | | | A | | 19,116 | | (5) | | (5) | Common Stock | 19,116 | \$0.0 | 19,11 | 6 | D | | | |

Explanation of Responses:

- 1. Each RSU represents a contingent right to receive one share of the Issuer's common stock.
- 2. The RSUs will vest as to one-third on March 15, 2022 and the remaining two-thirds of the RSUs will vest in four equal semi-annual installments thereafter through March 15, 2024.
- 3. Each PSU represents a contingent right to receive one share of the Issuer's Common Stock. The number of PSUs earned and issuable upon vesting will be determined based on achievement of a revenue goal set by the Compensation Committee of the Issuer's Board of Directors (the "Compensation Committee") for the fiscal year ending December 31, 2021. The aggregate number of shares issued may range from zero shares to 200% of the target number of shares reported in columns 5, 7 and 9 of Table II. The number of PSUs reported in columns 5, 7 and 9 of Table II reflects achievement at the target level of performance. This PSU will vest on 3/15/2022.
- 4. Each PSU represents a contingent right to receive one share of the Issuer's Common Stock. The number of PSUs earned and issuable upon vesting will be determined based on goals set by the Compensation Committee on an annual basis for each of the three fiscal years prior to the vesting date. The aggregate number of shares issued may range from zero shares to 200% of the target number of shares reported in columns 5, 7 and 9 of Table II. The number of PSUs reported in columns 5, 7 and 9 of Table II reflects achievement at the target level of performance. This PSU will vest on 3/15/2024.
- 5. Each PSU represents a contingent right to receive one share of the Issuer's Common Stock, based on the Issuer's total shareholder return (TSR) compared to pre-established relative TSR goals, based on the TSR of a peer index of companies set by the Compensation Committee over the three fiscal years ending prior to the vesting date. The aggregate number of shares issued may range from zero shares to 200% of the target number of shares reported in columns 5, 7 and 9 of Table II. The number of PSUs reported in columns 5, 7 and 9 of Table II reflects achievement at the target level of performance. This PSU will vest on 3/15/2024,

Patrick Macken, Attorney-in-**Fact**

03/17/2021

** Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.