SEC Form 3

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

OMB APPROVAL
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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

			0.000							
1. Name and Address of Reporting Person* JPMORGAN CHASE & CO		R (1	2. Date of Event Requiring Statement (Month/Day/Year) 10/27/2017		3. Issuer Name and Ticker or Trading Symbol <u>Sonus Networks, Inc.</u> [SONS]					
						(Mo L0% Owner Other (specify 6. Ir		If Amendment, Date of Original Filed Ionth/Day/Year) Individual or Joint/Group Filing (Check		
(Street) NEW YORK N	Y 10017				below)	below)	,	Appli X	Form filed b	y One Reporting Person y More than One erson
(City) (Si	tate) (Zip)									
		Т	able I - Nor	-Deriva	tive Securities Beneficial	ly Owned				
1. Title of Security (Instr. 4)					2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)			Beneficial Ownership	
Common Stock	Common Stock				49,940,222	I	I See f		footnotes ⁽¹⁾⁽²⁾	
		(e.g			ve Securities Beneficially ants, options, convertible		s)			
1. Title of Derivative Security (Instr. 4)			2. Date Exercisable an Expiration Date (Month/Day/Year)		d 3. Title and Amount of Securities Underlying Derivative Security (Inst				5. Ownership Form: Direct (D)	6. Nature of Indirect Beneficial Ownership (Instr. 5)
			Date Exercisable	Expiratio Date	on Title	Amount or Number of Shares	Derivative Security		or Indirect (I) (Instr. 5)	
	s of Reporting Person [*] CHASE & CO									-
(Last) 270 PARK AVEN	(First) IUE, 10TH FLOOR	(Middle)								
(Street) NEW YORK	NY	10017								
(City)	(State)	(Zip)								
1. Name and Address <u>OEP III Co-In</u>										
(Last) 270 PARK AVEN	(First) IUE, 10TH FLOOR,	(Middle)								
(Street) NEW YORK	NY	10017								
(City)	(State)	(Zip)								
	s of Reporting Person [*] rs Co-Invest, L.P) <u>.</u>								
(Last) 510 MADISON A	(First) VE., 19TH FLOOR	(Middle)								
(Street) NEW YORK	NY	10022								
(City)	(State)	(Zip)								
1. Name and Address	of Reporting Person [*]]						

<u>Heritage PE (OEP) III, L.P.</u>								
(Last)	(First)	(Middle)						
270 PARK AVENUE, 10TH FLOOR,								
(Street)								
NEW YORK	NY	10017						
(City)	(State)	(Zip)						

Explanation of Responses:

1. OEP III Co-Investors and OEP II Partners Co-Invest are the record holders of 1,142,007 and 1,749,504 shares of Common Stock, respectively. Heritage III is the record holder of 47,048,711 shares of Common Stock. JPMorgan Chase & Co. is a publicly traded entity listed on the New York Stock Exchange, which is the sole member of JP Morgan Chase Holdings LLC, which is the sole member of Banc One Financial LLC, which is the sole member of OEP Holdings LLC, which is the sole member of JPMC Heritage Partner of Heritage PE (OEP) III, L.P.

2. As such, each of OEP Holdings LLC, JPMC Heritage Parent LLC and OEP General Partner III L.P. may be deemed to have or share beneficial ownership of the Common Stock held directly by Heritage PE (OEP) III, L.P. OEP III Co-Investors, L.P. and OEP II Partners Co-Invest, L.P. are subject to certain contractual agreements and statutory obligations to acquire and vote shares side-by-side with Heritage PE (OEP) III, L.P. Each of the Reporting Persons disclaims beneficial ownership of the securities reported herein except to the extent of its pecuniary interest therein.

Remarks:

JPMorgan Chase & Co. By: /s/ Michael T. Lees, Executive 11/06/2017 Director OEP III Co-Investors, L.P. By: **OEP Co-Investors** Management III, Ltd., as 11/06/2017 General Partner, By: /s/ Richard W. Smith, President OEP II Partners Co-Invest, L.P. By: OEP II Partners Co-Invest GP, Ltd., as General Partner, 11/06/2017 By: /s/ Richard M. Cashin, Director Heritage PE (OEP) III, L.P. By: OEP General Partner III, L.P., as General Partner, By: JPMC 11/06/2017 Heritage Parent LLC, as General Partner, By: /s/ Richard W. Smith, President ** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.