SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to
Section 16. Form 4 or Form 5
bligations may continue. See
nstruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL								
OMB Number: 3235-0287								
Estimated average burden								
hours per response:	0.5							

1. Name and Address of Reporting Person* INFANTE BEATRIZ V			2. Issuer Name and Ticker or Trading Symbol Ribbon Communications Inc. [RBBN]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
$\left \frac{\text{IINFANTE B}}{1} \right $	<u>EAIRIZ V</u>			X	Director	10% Owner			
				-	Officer (give title	Other (specify			
(Last)	Last) (First) (Middle)		3. Date of Earliest Transaction (Month/Day/Year) 02/21/2020		below)	below)			
C/O RIBBON COMMUNICATIONS INC.									
4 TECHNOLOGY PARK DRIVE		æ							
		E	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv Line)	g (Check Applicable				
(Street)				X	Form filed by One Rep	orting Person			
WESTFORD	MA	01886			Form filed by More tha Person	n One Reporting			
(City)	(State)	(Zip)							

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Transaction Code (Instr.					5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1130.4)
Common Stock	02/21/2020		М		30,000	Α	(1)	150,929	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		Derivative Expiration Date Securities (Month/Day/Year) Acquired (A) or		e and of Securities Underlying Derivative Security (Instr. 3 and 4)			9. Number of derivative Securities Beneficially Owned Following Reported	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)		
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		Transaction(s) (Instr. 4)		
Restricted Stock Units (RSUs)	(1)	02/21/2020		A		30,000		02/21/2020 ⁽²⁾	(2)	Common Stock	30,000	\$0	30,000	D	
Restricted Stock Units (RSUs)	(1)	02/21/2020		М			30,000	02/21/2020 ⁽²⁾	(2)	Common Stock	30,000	\$0	0	D	

Explanation of Responses:

1. Restricted stock units convert into Ribbon Communications Inc. common stock on a one-for-one basis.

2. The restricted stock units (RSUs) were granted to the Reporting Person on February 21, 2020. 100% of these RSUs vest immediately upon grant.

Remarks:

Beatriz V. Infante

** Signature of Reporting Person

Date

02/21/2020

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.