SEC Form 3

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person [*] PRABHU KRISH A | 2. Date of Event Requiring Statement (Month/Day/Year) 3. Issuer Name and Ticker or Trading Symbol 03/01/2020 Ribbon Communications Inc. [RBBN] | | | | | | |
|--|--|--------------------|--|--|------------------------------------|---|---|
| (Last) (First) (Middle) C/O RIBBON COMMUNICATIONS INC. | | | 4. Relationship of Reporting Perso (Check all applicable) X Director | | | 5. If Amendment, Date of Original Filed (Month/Day/Year) | |
| 4 TECHNOLOGY PARK DRIVE | | | Officer (give title below) | Other (spe below) | | 6. Individual or Joint/Group Filing (Check Applicable Line) | |
| (Street) WESTFORD MA 01886 | | | | | X | | y One Reporting Person y More than One erson |
| (City) (State) (Zip) | | | | | | | |
| Table I - Non-Derivative Securities Beneficially Owned | | | | | | | |
| 1. Title of Security (Instr. 4) | | | Amount of Securities eneficially Owned (Instr. 4) | 3. Ownersh Form: Direc or Indirect ((Instr. 5) | t (D) (Instr | 4. Nature of Indirect Beneficial Ownership (Instr. 5) | |
| Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) | | | | | | | |
| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercis Expiration Dat (Month/Day/Ye | te | 3. Title and Amount of Securi Underlying Derivative Securi | | 4. Conversion or Exercise | cise Form: Direct (D) ve or Indirect | 6. Nature of Indirect Beneficial Ownership (Instr. 5) |
| Explanation of Responses: | | Expiration Date | Title | Amount or Number of Shares | Price of Derivative Security | | |

No securities are beneficially owned.

Krish A. Prabhu

03/04/2020

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.