FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

| OMB APPROVAL             |           |  |  |  |  |  |  |  |
|--------------------------|-----------|--|--|--|--|--|--|--|
| OMB Number:              | 3235-0287 |  |  |  |  |  |  |  |
| Estimated average burden |           |  |  |  |  |  |  |  |
| hours per response:      | 0.5       |  |  |  |  |  |  |  |

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person* <u>Riley Kevin W.</u>                      |   |  |  |       |                                      | 2. Issuer Name and Ticker or Trading Symbol Ribbon Communications Inc. [ RBBN ] |       |     |  |   |                  |  |                                   | 5. Relationship of Reporting Person(s) to Iss<br>(Check all applicable)<br>Director 10% Ov<br>V Officer (give title Other (s |  |  |       |  | vner   |  |
|---|---|--|--|-------|--------------------------------------|---|-------|-----|--|---|------------------|--|-----------------------------------|--|--|--|-------|--|--|--|
| (Last) (First) (Middle) C/O RIBBON COMMUNICATIONS INC. 4 TECHNOLOGY PARK DRIVE      |   |  |  |       | 02/                                  | 3. Date of Earliest Transaction (Month/Day/Year) 02/28/2020                     |       |     |  |   |                  |  |                                   | X  | CTO (  | & EVP of   |       | below)<br>ranced R&  | D  |  |
| (Street) WESTFORD MA 01886  (City) (State) (Zip)                                    |   |  |  |       | 4. If                                | 4. If Amendment, Date of Original Filed (Month/Day/Year)                        |       |     |  |   |                  |  |                                   | Line)  | Individual or Joint/Group Filing (Check Applicable ne)  X Form filed by One Reporting Person  Form filed by More than One Reporting Person |  |       |  |  |  |
| Table I - Non-Deriva  1. Title of Security (Instr. 3)  2. Transac Date (Month/Date) |   |  |  |       | action                               | ction 2A. Deeme   |       |     | 3.<br>Transac<br>Code (In                                      | 3. 4. Secur<br>Transaction Dispose<br>Code (Instr. 5) |                  | of, or Be<br>ities Acqui<br>d Of (D) (In   | red (A)                           | or   | 5. Amou<br>Securition<br>Benefici<br>Owned I   | . Amount of<br>Securities<br>Beneficially<br>Dwned Following                               |       | n: Direct<br>r Indirect<br>istr. 4)                                      | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership                |  |
|   |   |  |  |       |                                      |   |       |     | Code   | v   | Amount           | (A) or<br>(D)  |                                   | ice  | Transac  | Reported<br>Transaction(s)<br>Instr. 3 and 4)  |       |  | (Instr. 4)   |  |
|   |   | Т  |  |       |                                      |   |       |     | uired, Di  |   |                  |  |                                   |  | Owned  |  |       |  |  |  |
| 1. Title of<br>Derivative<br>Security<br>(Instr. 3)                                 | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction<br>Date<br>(Month/Day/Year) | 3A. Deeme<br>Execution<br>if any<br>(Month/Day | Date, | 4.<br>Transactio<br>Code (Inst<br>8) |   | n of  |     | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |   |                  | 7. Title and<br>Amount of<br>Securities<br>Underlying<br>Derivative Security<br>(Instr. 3 and 4) |                                   | S  | . Price of<br>erivative<br>ecurity<br>nstr. 5)   | 9. Number derivative Securities Beneficiall Owned Following Reported Transactio (Instr. 4) | ly OF | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |
|   |   |  |  |       | Code                                 | v   | (A)   | (D) | Date<br>Exercisable  |   | kpiration<br>ate | Title  | Amou<br>or<br>Numb<br>of<br>Share | oer  |  |  |       |  |  |  |
| Restricted<br>Stock<br>Units  | (1)   | 02/28/2020                                 |  |       | A                                    |   | 2,337 |     | (2)  |   | (2)              | Common<br>Stock  | 2,33                              | 37   | \$0  | 2,337  |       | D  |  |  |

## **Explanation of Responses:**

1. Each Restricted Stock Unit (RSU) represents a contingent right to receive one share of the Issuer's Common Stock. The number of RSUs was determined based on the Issuer's calculation of its 2019 prebonus adjusted EBITDA metric compared to pre-established goals that were set by the Compensation Committee (the "Compensation Committee") of the Issuer's Board of Directors.

2. On February 11, 2020, the Compensation Committee determined that the 2019 pre-bonus adjusted EBITDA metric was achieved at the 30.493% level, subject to no material changes in connection with the finalization of the 2019 audit and the filing of the Issuer's Annual Report on Form 10-K for the year ended December 31, 2019 (the "2019 Form 10-K"). The 2019 Form 10-K was filed with the U.S. Securities and Exchange Commission on February 28, 2020. As a result, Mr. Riley earned 2,337 shares, pending his continued employment with the Issuer through March 15, 2022.

## Remarks:

<u>Kevin W. Riley</u> 03/03/2020

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.